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PTO/SB/21 (08-00)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/810,675	
	Filing Date	March 29, 2004	
	First Named Inventor	Hirokazu YAMAGATA et al.	
	Group Art Unit	2811	
	Examiner Name	S. Hu	
Total Number of Pages in This Submission		Attorney Docket Number	0756-7276

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosures 1. 2. 3. 4. 5. 6.
Remarks		<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-2280 for the above identified docket number.

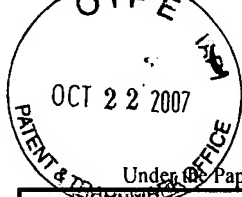
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eric J. Robinson, Reg. No. 38,285 Robinson Intellectual Property Law Office, P.C. PMB 955 21010 Southbank Street Potomac Falls, VA 20165
Signature	
Date	October 18, 2007

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.			
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Signature		Date	October 18, 2007

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**FEE TRANSMITTAL
FOR FY 2007**

Effective 09/30/2007. Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27.**TOTAL AMOUNT OF PAYMENT** (\$180.00)**Complete if Known**

Application Number	10/810,675
Filing Date	March 29, 2004
First Named Inventor	Hirokazu YAMAGATA et al.
Examiner Name	S. Hu
Group Art Unit	2811
Attorney Docket No.	0756-7276

METHOD OF PAYMENT

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number 50-2280Deposit
Account
Name Robinson Intellectual Property
Law Office

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17 and
-
- credit overpayments

☐ Applicant claims small entity status.
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
1001	2001	310	155	Utility filing fee	
1111	2111	510	255	Search fee	
1311	2311	210	105	Examination fee	
Over 100 Sheets/260 for each additional 50					

SUBTOTAL (1) (\$)**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** =	X \$50 =	
Independent Claims	-3** =	X \$210 =	
Multiple Dependent		=	

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description
1202	2202	50	25	Claims in excess of 20
1201	2201	210	105	Independent claims in excess of 3
1203	2203	370	185	Multiple dependent claim, if not paid
1204	2204	210	105	** Reissue independent claims over original patent
1205	2205	50	25	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65 Surcharge - late filing fee or oath	
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130 Non-English specification	
1812	2,520	1812	2,520 For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action	
1251	120	2251	60 Extension for reply within first month	
1252	460	2252	230 Extension for reply within second month	
1253	1,050	2253	525 Extension for reply within third month	
1254	1,640	2254	820 Extension for reply within fourth month	
1255	2,230	2255	1,115 Extension for reply within fifth month	
1401	510	2401	255 Notice of Appeal	
1402	510	2402	255 Filing a brief in support of an appeal	
1403	1,030	2403	515 Request for oral hearing	
1451	1,510	1451	1,510 Petition to institute a public use proceeding	
1452	510	2452	255 Petition to revive - unavoidable	
1453	1,540	2453	770 Petition to revive - unintentional	
1501	1,440	2501	720 Utility issue fee.(or reissue)	
1502	820	2502	410 Design issue fee	
1503	1,130	2503	565 Plant issue fee	
1462	400	1462	400 Petitions, Group I	
1463	200	1463	200 Petition, Group II	
1464	130	1464	130 Petitions, Group III	
1807	50	1807	50 Processing fee under 37 CR 1.17(q)	
1806	180	1806	180 Submission of Information Disclosure Stmt	\$180.00
8021	40	8021	40 Recording each patent assignment per property (times number of properties)	
1809	810	2809	405 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	810	2810	405 For each additional invention to be examined (37 CFR § 1.129(b))	
1801	810	2801	405 Request for Continued Examination (RCE)	
1802	900	1802	900 Request for expedited examination of a design application	
Other fee (specify) _____				

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180.00)**CERTIFICATE OF MAILING**

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*Adrian Stamps***SUBMITTED BY**

Name (Print/Type)

Eric J. Robinson

Registration No.
(Attorney/Agent)

38,285

Signature

Complete (if applicable)

Telephone

(571) 434-6789

Date

October 18, 2007



Attorney Docket No. 0756-7276

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Hirokazu YAMAGATA et al.

Serial No. 10/810,675

Filed: March 29, 2004

For: LIGHT EMITTING DEVICE AND
MANUFACTURING METHOD
THEREOF

) Group Art Unit: 2811

) Examiner: Shouxiang Hu

) CERTIFICATE OF MAILING

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) Mail in an envelope addressed to:
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) 2007.

Adelle M. Stamps

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Copies of U.S. patents and U.S. publications are not enclosed in accordance with the Notice published in the Official Gazette on August 5, 2003 entitled *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*, which waives the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. publication.

The attached references were cited in an Office Action by the Japanese Patent Office in counterpart JP 2002-038071 on October 2, 2007.

EP 1 049 176 and U.S. Patent Nos. 6,512,504; 6,879,309; and 7,247,349 are in the family of JP 2001-013893.


EP 0 989 614 and U.S. Patent Nos. 6,359,320; 6,737,717; and 7,098,088 and U.S. Publication No. 2006/0263955 are in the family of JP 2000-156504.

U.S. Patent No. 6,335,716 and U.S. Publication Nos. 2002/0079484 and 2005/0041122 are in the family of JP 11-143379.

A check in the amount of \$180 is being submitted to comply with the provisions of 37 C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,



Eric J. Robinson
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